

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

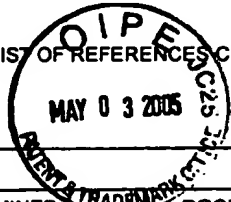
ATTY DOCKET NO.

214576US20

SERIAL NO.

09/976,053

LIST OF REFERENCES CITED BY APPLICANT



APPLICANT

Carlos E. DAVILA

FILING DATE

October 15, 2001

GROUP

2634

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					
	AM					
	AN					

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
	AO				
	AP				
	AQ				
	AR				
	AS				
	AT				
	AU				
	AV				

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

<i>K</i>	AW	Vivek K. GOYAL, et al., Universal Transform Coding Based On Backward Adaptation, pp. 1-5.
<i>K</i>	AX	Vivek K. GOYAL, et al., Transform Coding with Backward Adaptive Updates, IEEE Transactions on Information Theory, Vol. 46, No. 4, July 2000, pp. 1623-1633.
<i>K</i>	AY	Vivek K. GOYAL, et al., Transform Coding Using Adaptive Bases And Quantization, pp. 1-2.
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EHK:KMB:fbf

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